

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

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Title of Invention

BUSINESS PROCESS FRAMEWORK FOR REINSURANCE

Application Number : 09/675380
Confirmation Number: 1411
First Named Applicant: Peter Weber
Attorney Docket Number: 5053-28301
Art Unit: 2161
Examiner: Unknown Unknown
Search string: (5806042).pn



US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
<i>De</i>	1	5806042	1998-09-08	Kelly et al.			

Signature

Examiner Name	Date
<i>Dilek B. Ghanagla</i>	<i>08/14/2007</i>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	09675380
Filing Date	2000-09-29
First Named Inventor	Weber, et al.
Art Unit	3626
Examiner Name	C. Bleck D. Cobanoglu
Attorney Docket Number	5053-28301

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/DC/	1	6405360		2002-11-06	Bohrer et al.	

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Application Number	09675380
Filing Date	2000-09-29
First Named Inventor	Weber, et al.
Art Unit	3626
Examiner Name	C. Bleck
Attorney Docket Number	5053-28301

/DC/	1	Krieger, David and Richard M. Adler, "The Emergence of Distributed Component Platforms," IEEE, March 1998, pp.43-53	<input type="checkbox"/>
/DC/	2	Daskalopulu and Sergot, A Constraint Driven System for Contract Assembly, Proceedings of the 5th International Conference on Artificial Intelligence and Law, pp. 62-70, ISBN 0-89791-758-8, 1995	<input type="checkbox"/>
/DC/	3	Lauritsen, Knowing Documents, Proceedings of the 4th International Conference on Artificial Intelligence and Law, pp. 184-191, ISBN 0-89791-606-9, 1993	<input type="checkbox"/>
/DC/	4	American International Group (AIG), 1997 Form 10-K, SEC Accession No. 0000950123-97-002720, 3/28/97, p. 1-5; 48-49.	<input type="checkbox"/>

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Examiner Signature	/Dilek Cobanoglu/ (08/14/2007)	Date Considered	
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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)	ATTY. DKT. NO. 5053-28301 APPLICANT: Weber et al. FILING DATE: September 29, 2000
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U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
DBC	A1	6,049,773	04/11/2000	McCormack et al.			
	A2	5,191,522	03/02/1993	Bosco et al.			
	A3	5,873,066	02/16/1999	Underwood et al.			
DBC	A4	5,950,169	09/07/1999	Borghesi et al.			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DBC	A5	U.S. Application Serial No. 09/675,379
DBC	A6	U.S. Application Serial No. 09/676,018

EXAMINER:

Dale B. Banger

DATE CONSIDERED:

08/14/2007

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Information Disclosure Statement--PTO 1449 (modified)

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GP/2161
#7
10-23-03 PATENT CW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/675,380
Filed: September 29, 2000
Inventor(s):
Weber, et al.

§ Examiner: Unknown
§ Group/Art Unit: 2161
§ Atty. Dkt. No: 5053-28301
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Title: BUSINESS PROCESS
FRAMEWORK FOR
REINSURANCE

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8 DATE OF DEPOSIT: 10-14-03 I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to: Commissioner for Patents Alexandria, VA 22313-1450 <i>B. Gail Ballard</i> B. Gail Ballard
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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

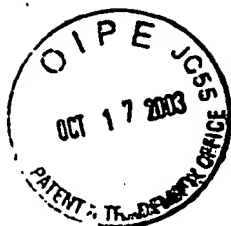
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It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents are enclosed for the convenience of the Examiner. Applicant respectfully requests that the Examiner also consider the following Patent applications:

- DSC* US Patent Application 09/603,307 (5053-27600);
DSC US Patent Application 09/603,129 (5053-27700);

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Weber et al.
09/675,380



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US Patent Application 09/603,308 (5053-27900);

US Patent Application 09/603,144 (5053-28000);

US Patent Application 09/602,687 (5053-28100);

US Patent Application 09/603,662 (5053-27800);

US Patent Application 09/603,302 (5053-35700)* - Claims Only, Specification

and Figures are similar to US Patent Application 09/603,662 (5053-27800);

US Patent Application 09/602,691 (5053-35800)* - Claims Only, Specification

and Figures are similar to US Patent Application 09/603,662 (5053-27800);

US Patent Application 09/603,130 (5053-35900);

US Patent Application 09/603,303 (5053-36000);

US Patent Application 09/603,304 (5053-36100);

US Patent Application 09/603,306 (5053-36200);

US Patent Application 10/285,292 (5053-57800);

US Patent Application 09/285,289 (5053-57801)* - Claims Only, Specification

and Figures are similar to US Patent Application 10/285,292 (5053-57800);

US Patent Application 10/285,339 (5053-57802)* - Claims Only, Specification

and Figures are similar to US Patent Application 10/285,292 (5053-57800);

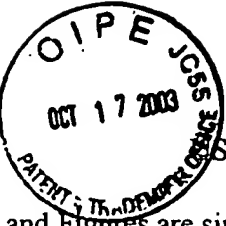
US Patent Application 10/285,375 (5053-57803)* - Claims Only, Specification

and Figures are similar to US Patent Application 10/285,292 (5053-57800);

DBC US Patent Application 10/285,338 (5053-57804)* - Claims Only, Specification

and Figures are similar to US Patent Application 10/285,292 (5053-57800);

Weber et al.
09/675,380



DEC
US Patent Application 10/285,293 (5053-57805)* - Claims Only, Specification
and Figures are similar to US Patent Application 10/285,292 (5053-57800);

US Patent Application 10/422,632 (5053-63000);

DEC
US Patent Application 10/422,450 (5053-63100)* - Claims Only, Specification

and Figures are similar to US Patent Application 10/422,632 (5053-63000);

Should any fees be required, the Commissioner is authorized to charge said fees to
Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5053-
28301/EBM.

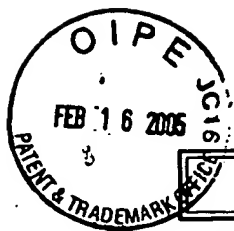
Respectfully submitted,

Mark R. Deluca
Reg. No. 44,649

Agent for Applicant(s)




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Date: 10/13/03



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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5806042	1998-09-08	Kelly et al.			
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	APPLICANT: Weber, et al.	GROUP: 2161
	FILING DATE: September 29, 2000	

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIAT

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DBC	G1	Continuum Connections, Vol. I, No. 1, The Continuum Company, Inc., November 1991, 16 pages.
	G2	Continuum Connections, Vol. I, No. 2, The Continuum Company, Inc., Jan./Feb. 1992, 17 pages.
	G3	Continuum Connections, Vol. I, No. 3, The Continuum Company, Inc., March/April 1992, 16 pages.
	G4	Continuum Connections, Vol. I, No. 4, The Continuum Company, Inc., July/August 1992, 15 pages.
	G5	Continuum Connections, Vol. II, No. 1, The Continuum Company, Inc., Oct./Nov. 1992, 16 pages.
	G6	Continuum Connections, Vol. II, No. 2, The Continuum Company, Inc., Dec./Jan. 1993, 24 pages.
	G7	Continuum Connections, Vol. II, No. 3, The Continuum Company, Inc., March/April 1993, 16 pages.
	G8	Continuum Connections, Vol. II, No. 4, The Continuum Company, Inc., July/August 1993, 16 pages.
	G9	Continuum Connections, Vol. II, No. 5, The Continuum Company, Inc., Nov./Dec. 1993, 20 pages.
	G10	Continuum Connections, Vol. II, No. 6, The Continuum Company, Inc., Jan./Feb. 1994, 19 pages.
	G11	Continuum Connections, Vol. III, No. 1, The Continuum Company, Inc., March/April 1994, 24 pages.
	G12	Continuum Connections, Vol. III, No. 2, The Continuum Company, Inc., Nov./Dec. 1994, 20 pages.
	G13	Continuum Connections, Vol. III, No. 3, The Continuum Company, Inc., March/April 1995, 16 pages.
	G14	Continuum Connections to the Americas, Vol. 1, No. 1, The Continuum Company, Inc., Sept. 1995, 49 pages.
	G15	Continuum Connections, Vol. III, No. 4, The Continuum Company, Inc., Oct./Nov. 1995, 24 pages.
	G16	Continuum Connections to the Americas, Vol. 2, No. 1, The Continuum Company, Inc., Jan. 1996, 59 pages.
	G17	Continuum Connections to the Americas, Vol. 2, No. 2, The Continuum Company, Inc., March 1996, 59 pages.
	G18	Continuum Connections to the Americas, Vol. 2, No. 3, The Continuum Company, Inc., May 1996, 51 pages.
	G19	Continuum Connections to the Americas, Vol. 2, No. 4, The Continuum Company, Inc., July 1996, 55 pages.
DBC	G20	Continuum Connections to the Americas, Vol. 2, No. 5, The Continuum Company, Inc., Sept. 1996, 59 pages.

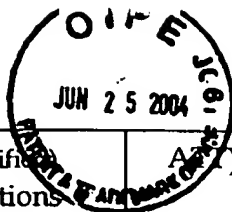
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Best Available Copy (Formal) Disclosure Statement--PTO 1449 (modified)



Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)	ATTY. DKT. NO. 5053-28301 APPLICANT: Weber, et al. FILING DATE: September 29, 2000	SERIAL NO. 09/675,380 GROUP: 2161
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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE APPROPRIAT

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DBC	G21	Connections to the Americas, Vol. 3, No. 1, CSC Continuum, January 1997, 55 pages.
	G22	Connections to the Americas, Vol. 3, No. 2, CSC Continuum, February 1997, 55 pages.
	G23	Connections to the Americas, Vol. 3, No. 3, CSC Continuum, March 1997, 48 pages.
	G24	Connections to the Americas, Vol. 3, No. 4, CSC Continuum, April 1997, 40 pages.
	G25	Connections to the Americas, Vol. 3, No. 5, Computer Sciences Corporation, May/June 1997, 66 pages.
	G26	Connections to the Americas, Vol. 3, No. 6, Computer Sciences Corporation, July/August 1997, 56 pages.
	G27	Connections to the Americas, Vol. 3, No. 7, Computer Sciences Corporation, September/October 1997, 76 pages.
	G28	Connections to the Americas, Vol. 4, No. 1, Computer Sciences Corporation, January 1998, 64 pages.
	G29	Connections to the Americas, Vol. 4, No. 2, Computer Sciences Corporation, February/March 1998, 50 pages.
	G30	Connections to the Americas, Vol. 4, No. 3, Computer Sciences Corporation, May/June 1998, 48 pages.
	G31	Connections to the Americas, Vol. 4, No. 4, Computer Sciences Corporation, September/October 1998, 62 pages.
	G32	Insurance Connections, Computer Sciences Corporation, February/March 1999, 52 pages.
	G33	Banking Connections, Computer Sciences Corporation, April/May 1999, 44 pages.
	G34	Insurance Connections, Computer Sciences Corporation, June/July 1999, 56 pages.
	G35	Banking Connections, Computer Sciences Corporation, August/September 1999, 52 pages.
	G36	Insurance Connections, Computer Sciences Corporation, October/November 1999, 56 pages.
	G37	Cost Containment: Products and Solutions for the Property and Casualty Insurance Industry, Computer Sciences Corporation, October 1999, 40 pages.
	G38	Banking Connections, Computer Sciences Corporation, December 1999, 48 pages.
	G39	Insurance Connections, Computer Sciences Corporation, February/March 2000, 60 pages.
	G40	Banking Connections, Computer Sciences Corporation, April/May 2000, 48 pages.
	G41	Insurance Connections, Computer Sciences Corporation, June/July 2000, 43 pages.
DBC	G42	Insurance Connections, Computer Sciences Corporation, September/October 2000, 43 pages.

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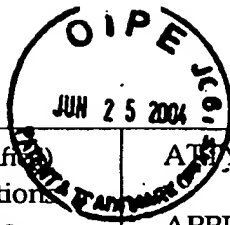
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>Dec</i>	G43	Banking Connections, Computer Sciences Corporation, November/December 2000, 48 pages.
<i>Dec</i>	K1	International search report application number PCT/US 00/26695 mailed May 19, 2003.

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Derek S. Gough

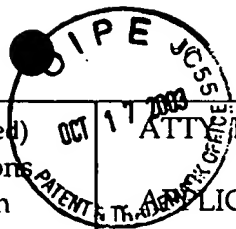
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FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATIO YES/NO
DBC	E1	0 280 773	09/1988	EP			
DBC	E2	0 465 018	01/1992	EP			
DBC	E3	0 926 608	06/1999	EP			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DBC	F1	@ Fault A Commitment to Consistency," Computer Sciences Corporation, Copyright 2000, pp. 1-2.					
	F2	"Banking Flows Onto Net, Two Austin Software Companies Poised for Boom in Online Service; Ladendorf, Kirk; December 14, 1998; pp. 1-5; Austin American Statesman.					
	F3	"High-Performance Communication Networks"; Jean Walrand and Pravin Varaiya; 1996; pp. 361-369; Morgan Kaufmann Publishers.					
	F4	"InsWeb Teams Up with Online Resources; Deal brings online insurance Marketplace to hundreds of financial institutions"; Dec. 2, 1998; pp. 1-3; Business Wire.					
	F5	"Reliance Group providing On-Line Access to Workers' Comp, Auto, Surety, Professional Liability Coverages; E-Commerce to Exceed \$100 Million in 1999"; Dec. 7, 1998; pp. 1-3; Business Wire					
	F6	"Time and Billing Gets Webbed"; Antoinette, Alexander; June 1, 2000; pp. 46-50; Accounting Technology, vol. 16 no. 5.					
	F7	"Will your Business Model Float?"; Baatz, E.B.; Webmaster Magazine; October 1996; http://www.cio.com/archive/webbusiness/100196_float_content.html .					
	F8	Borland, Russel; "Running Microsoft Outlook 97," Microsoft Press, 1997.					
	F9	Howarth, "Outsourcing: Technology on Tap," Business Review Weekly, December 1999, pp. 1-5.					
	F10	"The OTC Revolution"; Juhl, Randy; March 3, 1997; Drug Topics 141 (5).					
	F11	Mead, Jay, "Measuring the Value Added by Technical Documentation" Technical Communication Online, August 1998, V. 45, N. 3.					
	F12	Medisoftware Insurance Claims Software Website. May 10, 2000. [Retrieved on January 10, 2003] Retrieved from Internet URL: < http://web.archive.org/web/20000510094549/http://www.medisoftware.com/ >					
DBC	F13	Merlin, Jr., William F., "Collision Course With the Colossus Program: How To Deal With It," The Merlin Law Group, May 2000, Tampa, FL, pp.1-17.					

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Dr. B. C. G. G. G.

DATE CONSIDERED:

08/14/2007

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
DBC	F14	Merlin, Jr., William F., "Overcoming Allstate's Trade Secrets and Work-Product Objections," The Merlin Law Group, May 2000, Tampa, FL, pp.1-31.				
	F15	Microsoft Corporation, "Holding State in Objects with Microsoft Transaction Server," June 1997, pp. 1-3.				
	F16	Microsoft Corporation, "Microsoft Component Services, Server Operating System, A Technology Overview," August 15, 1998, pp. 1-7.				
	F17	Microsoft Press Computer Dictionary Second Edition, Microsoft Press, 1994, pp. 26-27, 117, 277, 335, 338, 353, 366-367, and 383				
	F18	Scopus and Entrust Technologies to Deliver World's First Secure Customer Care Solution for the Financial Services Market; PR Newswire dated November 5, 1997.				
	F19	Utzschneider, "Microsoft Transaction Server and Internet Information Server: Technology for the Web," February 6, 1998, pp. 1-6.				
	F20	Merlin, Jr., William F., "Colossus: What We Know Today," The Merlin Law Group, August 2000, Tampa, FL, pp. 1-8				
DBC	F21	https://www.foremost.com/secure/fm_claims.htm 1996				

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Confirmation Number:	1411	
First Named Applicant:	Peter Weber	
Attorney Docket Number:	5053-28301	
Art Unit:	2161	
Examiner:	Unknown Unknown	
Search string:	(4553206 or 4812966 or 4831526 or 4837693 or 4878167 or 4975840 or 4987538 or 4992972 or 5093911 or 5099422 or 5155806 or 5157768 or 5191522 or 5201044 or 5233513 or 5241671 or 5287448 or 5307262 or 5307265 or 5386566 or 5394555 or 5432904 or 5434994 or 5446653 or 5455947 or 5471575 or 5481667 or 5483632 or 5499330 or 5504674 or 5504675 or 5517405 or 5523942 or 5535323 or 5537315 or 5550734 or 5550976 or 5557515 or 5586310 or 5613072 or 5638508 or 5644778 or 5652842 or 5655085 or 5673402 or 5689706 or 5704044 or 5717913 or 5732221 or 5732397 or 5745901 or 5748953 or 5768505 or 5768506 or 5768578 or 5797134 or 5832481 or 5832530 or 5835897 or 5835914 or 5850442 or 5870711 or 5873066 or 5884274 or 5895461 or 5899998 or 5903873 or 5907848 or 5909683 or 5913198 or 5915241 or 5918208 or 5930759 or 5933816 or 5937189 or 5950169 or 5950196 or 5956691 or 5970464 or 5987434 or 5991733 or 5991756 or 5995947 or 5999940 or 6012053 or 6016504 or 6029150 or 6029195 or 6038393 or 6038668 or 6049665 or 6061657 or 6064983 or 6065000 or 6065047 or 6073104 or 6081832 or 6088710 or 6092049 or 6098070 or 6105007 or 6112986 or 6115690 or 6119093 or 6128598 or 6134582 or 6148297 or 6163770 or 6185540 or 6202054 or 6208973 or 6226623 or 6236972 or 6236975 or 6237035 or 6239798 or 6266645 or 6272471 or 6272482 or 6272528 or 6330551 or 6336096 or 6341265 or 6343271 or 6370511 or 6401079 or 6456303 or 6484178	

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Init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
Dec	1	4553206	1985-11-12	Smutek et al.			
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Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
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Remarks

Note: Remarks are not for responding to an office action.

Additional non-patent references are being sent by mail.

Signature

Examiner Name	Date
<i>Dr. B. C. Gargan</i>	08/14/2007